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PATENTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Donald R. Huffman, et al.

Docket: 7913Z

Filed: September 10, 1990

Dated: February 23, 1994

Serial No.: 07/580,246

For: NEW FORM OF CARBON

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Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231

APPLICATION DIVISION

SUBMISSION OF A COPY OF A VERIFIED
STATEMENT CLAIMING SMALL ENTITY STATUS

Sir:

A verified statement claiming Small Entity Status pursuant to 37 C.F.R. §§1.9(f), 1.27(b) is being submitted herewith for filing in the subject application for Letters Patent.

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GROUP 110

Respectfully submitted,

Mark J. Cohen
Mark J. Cohen
Registration No. 32,211

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on February 23, 1994

Dated: February 23, 1994

Mark J. Cohen
Mark J. Cohen

Applicant or Patentee: Donald R. Huffman, et al.
Serial or Patent No.: 580,246
Filed or Issued: September 10, 1990
Title: NEW FORM OF CARBON

Attorney's- 7913Z
Docket No.: _____

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Research Corporation Technologies, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 101 N. Wilmot, 6th Floor

Tucson, Arizona 85711-3335

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled NEW FORM OF CARBON by inventor(s) Donald R. Huffman, et al.

described in

☐ the specification filed herewith

☒ application serial no. 580,246, filed September 10, 1990

☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Timothy John Reckart, Esq.

TITLE OF PERSON IF OTHER THAN OWNER General Counsel, Director of Legal Affairs

ADDRESS OF PERSON SIGNING Research Corporation Technologies, Inc.

101 N. Wilmot, 6th Floor, Tucson, Arizona 85711-3335

SIGNATURE Timothy John Reckart DATE February 16, 1994